Chapter 6

Epidemiology of recurrent venous thrombosis

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ABSTRACT

Venous thrombosis, including deep vein thrombosis and pulmonary embolism, is a common disease that frequently recurs. Recurrence can be prevented by anticoagulants, but this comes at the risk of bleeding. Therefore, assessment of the risk of recurrence is important to balance the risks and benefits of anticoagulant treatment. This review briefly outlines what is currently known about the epidemiology of recurrent venous thrombosis, and focuses in more detail on potential new risk factors for venous recurrence. The general implications of these findings in patient management are discussed.
INTRODUCTION

Pulmonary embolism and deep vein thrombosis are part of the spectrum of a single disease defined as venous thrombosis, which occurs in approximately 1-2 per 1000 persons per year [1]. Approximately 30% of the apparently isolated episodes of pulmonary embolism are associated with silent deep vein thrombosis [2]. In patients presenting symptoms of deep vein thrombosis, the frequency of silent pulmonary embolism ranges between 40 and 50% [3]. The short-term death rate due to pulmonary embolism is 3-6% [1,4]. A common complication of deep vein thrombosis is the post-thrombotic syndrome, which occurs in up to 50% of patients [5].

Venous thrombosis can recur and its recurrence rate (after stopping anticoagulant treatment) is 25% within 5 years [6,7]. Although many clinical and laboratory risk factors for first venous thrombosis have been established [8], only a few of these risk factors are known to play a role in the prediction of a recurrent event (Table 1) [9,10]. Recurrent venous thrombosis usually leads to a decision for life-long anticoagulant treatment, which substantially increases long-term health care costs. Furthermore, anticoagulation is associated with serious potential side effects such as major bleeding. Therefore, efforts are required to reduce the risk of recurrent venous thrombosis while minimizing the use of anticoagulant treatment. This requires the identification of factors associated with recurrence.

This review briefly outlines what is currently known about the epidemiology of recurrent venous thrombosis, and also focuses in more detail on potential new risk factors for venous recurrence. The general implications of these findings in patient management are discussed.
CURRENTLY KNOWN RISK FACTORS FOR THE RECURRENTENCE OF VENOUS THROMBOSIS

The risk of thrombosis recurrence is especially high in patients in whom the initial venous thrombosis was unprovoked (i.e., the event occurred in the absence of transitory risk factors including surgery, hospitalization, immobilization, and oral contraceptive use or pregnancy/puerperium) [11-13]. It is important to keep in mind that, as long as the risk factor that caused the thrombosis is present the increased risk remains. This is especially important in patients with active cancer, who have a high risk of recurrence even during vitamin K antagonist treatment [14,15]. Women who had a first venous thrombotic event that was associated with concurrent oral contraceptive use are at high risk for recurrence when they do not refrain from oral contraceptives after the event occurred. This is illustrated by the LETS-follow-up study where 40% of women continued, started or restarted oral contraceptive use during follow-up. These women had a 4.6-fold (95%CI = 1.9-11.5) higher recurrence rate than women in the same age group (16-48 years) who stopped using oral contraceptives after their first event, and who were not pregnant during follow-up [recurrence rate of 48.8/1000 person/years (95%CI = 24.3-87.2) for oral contraceptive use versus 10.5/1000 person/years (95%CI = 4.5-20.7) in no hormonal contraception or pregnancy] [16].

After discontinuation of anticoagulant therapy the annual risk of recurrence among patients with antiphospholipid syndrome may be as high as 50 to 67%, especially during the first few months [17]. Most clinicians therefore opt to treat venous thrombosis patients with strict diagnostic criteria for antiphospholipid syndrome, [18] with long-term anticoagulant treatment [11,19].
POTENTIAL NEW RISK FACTORS FOR VENOUS RECURRENCE

General characteristics

**Sex**
Recent studies have shown that men have a 2-4-fold higher risk of recurrent venous thrombosis than women [16,20,21]. The reason why men would be at higher risk of recurrent venous thrombosis than women is unknown. It could be explained by an imbalance of environmental risk factors for venous thrombosis in men compared to women, for example, due to hormonal risk factors to which only women are exposed. As oral contraceptives are discouraged after first venous thrombosis and thromboprophylaxis is often recommended in women during pregnancy or puerperium after a first event [22,23], women may have a lower thrombosis potential level for recurrence than men in whom environmental risk factors are less often associated with risk for a first venous thrombosis [24]. Some studies indeed suggested that the lower risk of recurrent venous thrombosis in women could be explained by a reduced rate of recurrence after venous thrombosis associated with oral contraceptive use or pregnancy [24-26]. However, a recent meta-analysis concluded that the lower risk of recurrent venous thrombosis in women could not to be fully accounted for by a reduced rate of recurrence after venous thrombosis associated with oral contraceptive use or pregnancy [27]. Whether or not male sex in itself is a potential factor in the development for recurrent venous thrombosis is still unclarified; nevertheless, it is a clear predictor of an increased recurrence risk.

**Age**
Although age is a strong risk factor for first venous thrombosis, it seems to have no effect on the risk of recurrence. Some authors have reported a slightly increased risk (hazard ratio 1.36 per increase in each decade of age) [28,29], and others found no relationship [6,30,31], or even a decreased risk with ageing [32]. In routine clinical practice, age at first venous thrombosis is usually taken into consideration when a patient is counseled regarding duration of anticoagulant treatment. Some clinicians are reluctant to treat
elderly patients with anticoagulants for a long period of time because they consider risk of bleeding rather than risk of venous recurrence as the determinant for duration of anticoagulation. Others, in contrast, are hesitant to recommend extended anticoagulation to younger patients because they assume that these patients may have a low recurrence risk. Many younger individuals dislike long-term anticoagulation simply because of the prospect of a long-time medical treatment. According to previous findings, the risk of recurrence is similar for younger and older patients. Therefore, age at first venous thrombosis should not matter when determining how long patients with thrombosis should receive anticoagulation, provided their risk of bleeding is low [30].

**Overweight/obesity**

Obesity is a risk factor for first and recurrent venous thrombosis [33,34]. It is not completely understood why obesity predisposes to venous thrombosis. People with overweight or obesity tend to be more immobile, which may lead to clot formation through stasis. It is also possible that these individuals acquire a prothrombotic state. Indeed, studies have shown an association between increase in body mass index (BMI) and factor VIII levels [35], which is a risk factor for venous thrombosis [36]. Adipose tissue may contribute to enhanced coagulation by direct tissue factor production, but hypercoagulability could also be due to direct effects of adipose tissue on the hepatic synthesis of coagulation factors [37,38]. Another explanation is that estrogen levels are higher in obese men and women due to an increased conversion from androgen to estrogen in adipose tissue [39]. As estrogens and progestagens are prothrombotic [40], this may also be a possible pathway. A third explanation may be that obesity is considered to be a chronic low-grade inflammatory state, which may result in increases of clotting factors leading to venous thrombosis [38]. It seems plausible that weight loss can reduce the risk of recurrent venous thrombosis [30], although prospective follow-up studies on this issue have not been performed.
SPECIFIC ASPECTS RELATED TO THROMBOSIS

Risk situations for venous thrombosis that happened in the past but not related with a thrombotic event

Some patients with first venous thrombosis may have experienced many risk situations for thrombosis during their life time without actually developing it, while others may have experienced few of such risk situations and then developed venous thrombosis idiopathically or after a single provoked risk factor. In a previous study we hypothesized that those who had 'survived' many risk situations without developing venous thrombosis would, have a lower recurrence risk after a first event [41]. In this Brazilian cohort, risk situations for venous thrombosis that occurred in the past but did not result in venous thrombosis at that time were noted in 66% of patients. Although the high rate of positive responders to this question provided a large group to evaluate our hypothesis, the risk of patients with unprovoked venous thrombosis with or without past risk situations was still 3-fold higher than the risk of those who had a provoked risk factor present at time of first venous thrombosis. Hence, asking patients with venous thrombosis about risk situations for venous thrombosis that took place long before their first thrombotic event seems to be of little value for predicting if an individual patient has a low risk for thrombosis recurrence.

Infections

A recent prospective population-based cohort study showed that elevated C-reactive protein (CRP) levels were associated with an increased risk of venous thrombosis [42]. Interestingly, it was shown that this risk was highest when CRP levels were elevated during the period just before the onset of venous thrombosis. This latter finding supports the hypothesis that the coagulation system can be stimulated by transient infection [43], which has also been suggested by experimental studies [44]. Two retrospective cohort studies showed that patients with a transient infection such as urinary tract infection or pneumonia had a 2- to 3-fold increased risk of acute venous thrombosis [45,46]. Thus, in the presence of a transient infection, a clinician
could decide to withhold anticoagulant treatment after 3-6 months in a person with a first venous thrombosis at the time of such an episode of infection or inflammation. This approach is supported by the study by Baglin et al. [47] and is recommended by the ACCP Guidelines, based on a low risk of thrombotic recurrence when the provoking risk factor is known and transient [11]. However, because infections may also lead to transient periods of immobilization or hospitalization, this increased risk could also be explained by a concurrent period of immobilization leading to venous stasis that ultimately leads to thrombosis. Whether common transient or inflammatory diseases should therefore be considered as provoking risk factors for venous thrombosis is uncertain.

Thrombophilia

Thrombophilia can be identified in about half of all patients presenting venous thrombosis, and appears to provide at least a partial explanation for a previously poorly explained disease. Over the past decades, testing has increased tremendously for various indications, but whether the results of such tests aid the clinical management of patients has not been settled [48]. There is weak evidence that testing for thrombophilia could improve the risk prediction for venous thrombosis recurrence. Those with positive tests have at most a small increase in the risk of recurrence [7,9,49]. The association between natural anticoagulant deficiencies (protein C, protein S, antithrombin) and an increased risk of venous recurrence has been established [50,51], but the clinical relevance of this association is unknown. There is no proof that screening for thrombophilia helps patients with regard to treatment of the acute event or for prevention of recurrence [7,47,52].

Mode of first event presentation

The mode of presentation of venous thrombosis, as deep vein thrombosis or pulmonary embolism, could predict the likelihood and type of recurrence. In a recent meta-analysis on this issue, the risk for recurrence as pulmonary embolism was 3.1-fold greater in patients presenting with previous symptomatic pulmonary embolism than in patients with previous proximal deep vein thrombosis. Patients with proximal deep vein thrombosis had a 4.8-fold higher cumulative recurrence rate than those with distal deep vein thrombosis [53]. High risk of pulmonary embolism at recurrence in patients with an initial pulmonary embolism has been shown repeatedly [54-56]. It is not clear why patients with pulmonary embolism have a higher chance of
recurrence than patients with deep vein thrombosis [55-58]. However, given that the risk of fatal pulmonary embolism is two to four times higher in patients with symptomatic pulmonary embolism and the risk of chronic pulmonary embolism and pulmonary hypertension is 15 to 20 times more likely when the pulmonary embolism is recurrent [57,59,60], the mode of initial presentation appears to be an important factor in determining the duration of anticoagulant therapy in individual patients after a first episode of venous thrombosis. The current recommendation for anticoagulation duration is already shorter for patients with distal venous thrombosis [11]. However, more studies are needed in order to support different recommendations for the management of pulmonary embolism and proximal deep vein thrombosis.

**Residual vein thrombosis**

The presence of sequelae in the area affected by venous thrombosis is a possible risk factor for recurrence [61]. Two interventional studies used residual vein thrombosis to guide the duration of anticoagulation treatment after unprovoked proximal deep vein thrombosis [62,63]. Tailoring the duration of oral anticoagulant therapy on the basis of findings of repeated leg vein ultrasonography reduced the risk for recurrent venous thrombosis by 35% compared to the administration of conventional, fixed time treatment without an appreciable increase in hemorrhagic risk [62]. However, many patients without sequelae relapsed; therefore this assessment reduces, but does not exclude the possibility to misclassify patients as being at low risk for recurrence [62]. Because there are currently no uniformly acknowledged criteria for the definition of vein decimalization, any clinical decision made on the basis of residual vein thrombosis assessment can only be premature [9,12,64].

**D-dimer testing**

D-dimer levels have been used as a predictive test for recurrence of venous thrombosis when remaining high after 30 days of discontinuation of oral anticoagulation [65]. An Italian multicenter interventional trial (PROLONG) evaluated adult patients after a first event of unprovoked venous thrombosis who were treated for at least three months with oral anticoagulants. The relative risk of events (recurrence or bleeding) was four-times higher in patients with abnormal D-dimer levels who had not resumed anticoagulation compared to those who restarted the anticoagulation [65]. The risk of
recurrence in patients with unprovoked venous thrombosis and normal D-dimer levels was similar to that of patients with a first provoked episode of venous thrombosis [66]. In the PROLONG II study, published in 2010, the Italian investigators reported that repeated testing of D-dimer concentrations after withdrawal of anticoagulation treatment following a first episode of unprovoked venous thrombosis could help establish the optimum duration of treatment [67]. Although these results are encouraging, it should be remembered that the positive predictive value of a high D-dimer concentration regarding recurrent venous thrombosis is low and therefore not very helpful for clinical decision making [68]. The measurement of D-dimer might be more useful in identifying patients at low risk of recurrence in whom the risk of bleeding during long-term anticoagulation might be higher than the risk of recurrence after stopping treatment.
RISK ASSESSMENT IN PATIENTS WITH UNPROVOKED FIRST VENOUS THROMBOSIS

A recently introduced approach for the assessment of risk for recurrent venous thrombosis is the combination of clinical characteristics of the patients (i.e., location of the thrombus, sex, or age) with laboratory or imaging tests. Rodger et al. [32] identified that a combination of 2 or more of the following risk factors in women: absence of symptoms suggestive of post-thrombotic syndrome, D-dimer concentration during anticoagulation treatment <250 ng/mL, body-mass index <30 kg/m², and age <65 years, could be predictive of a low recurrence risk. No combination of predictors identified a low-risk group of men. Following the same principles as those of the study by Rodger et al., Eichinger et al. [69] developed a nomogram that can be used to calculate risk scores and expected cumulative recurrence rates. The variables used were: sex, location of initial venous thrombosis and D-dimer levels in individual patients. Both prediction models need to be validated before they can be used in clinical care.

In summary, prevention of recurrent venous thrombosis will be more profitable if it becomes possible both to identify more precisely those persons who are at risk of recurrent venous thrombosis and to quantify the risk to which they are exposed. Consideration of the discussed new risk factors for recurrence may allow us a more optimal use of prophylactic strategies against recurrent venous thrombosis. More research on this topic is needed before conclusions can be drawn.
REFERENCE LIST


**Table 1.** Risk factors for recurrent venous thrombosis.

<table>
<thead>
<tr>
<th>Genetic</th>
<th>Acquired</th>
<th>Environmental</th>
<th>Mixed or not well established</th>
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<tbody>
<tr>
<td>Antithrombin deficiency</td>
<td>Malignancy</td>
<td>Surgery and major trauma</td>
<td>High factor VIII</td>
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<td>Protein C deficiency</td>
<td>Antiphospholipid antibodies</td>
<td>Pregnancy and puerperium</td>
<td>High D-dimer</td>
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<td>Oral contraceptives</td>
<td>Hormone replacement therapy</td>
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<td>Prolonged immobilization</td>
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