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Chapter 5

Predicting the influence of liposomal lipid composition on liposome size, zeta potential and liposome-induced dendritic cell maturation using a design of experiments approach

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ABSTRACT
In this study, the effect of liposomal lipid composition on the physicochemical characteristics and adjuvanticity of liposomes was investigated. Using a design of experiments (DoE) approach, peptide-containing liposomes containing various lipids (EPC, DOPE, DOTAP and DC-Chol) and peptide concentrations were formulated. Liposome size and zeta potential were determined for each formulation. Moreover, the adjuvanticity of the liposomes was assessed in an *in vitro* dendritic cell (DC) model, by quantifying the expression of DC maturation markers CD40, CD80, CD83 and CD86. The acquired data of these liposome characteristics were successfully fitted with regression models, and response contour plots were generated for each response factor. These models were applied to predict a lipid composition that resulted in a liposome with a target zeta potential. Subsequently, the expression of the DC maturation factors for this lipid composition was predicted and tested *in vitro*; the acquired maturation responses corresponded well with the predicted ones. These results show that a DoE approach can be used to screen various lipids and lipid compositions, and to predict their impact on liposome size, charge and adjuvanticity. Using such an approach may accelerate the formulation development of liposomal vaccine adjuvants.
INTRODUCTION

Many vaccines are based on purified or synthetic antigens derived from their respective pathogens. These include antigens, such as peptides and proteins, which are poorly immunogenic on their own. Adjuvants, based on delivery systems and/or immunopotentiators, are used frequently to improve the immunogenicity of antigens [1]. Liposomes are important delivery systems for vaccines because of their high versatility, which enables them to be suited for many types of antigens [2].

Numerous lipid compositions and preparation methods for liposomes can be chosen, which affect several liposomal characteristics, such as size, zeta potential, bilayer fluidity and encapsulation or association of antigen or adjuvant. In turn, these characteristics can influence the adjuvant effect of liposomes [3]. The adjuvanticity of liposomes is attributed to several mechanisms, such as antigen depot formation, induction of local inflammation and increased antigen uptake by antigen presenting cells.

Antigen presenting cells, with dendritic cells (DCs) in particular, play a pivotal role in the induction of adaptive immune responses. DCs recognize, internalize and process antigens, and ultimately present them to naïve CD4⁺ or CD8⁺ T cells [4]. The uptake of antigens by DCs is affected by several antigen characteristics, of which size and surface charge are the most influential. Generally, the size of most subunit antigens is too small for the DC to be taken up efficiently. Incorporation of an antigen into a particulate delivery system such as a liposome, whose size is comparable to that of a virus particle, can therefore significantly increase antigen uptake by DCs through endocytosis [5].

The surface charge density of a liposome influences its zeta potential, and thereby its electrostatic interaction with the surface of a DC. Since cellular membranes are anionic, cationic liposomes are ideally suited to increase antigen uptake by DCs [6]. It is generally accepted that anionic and neutral liposomes are less suited for the induction of immune responses [7]. The cationic liposome formulation CAF01 is currently advancing through clinical trials in combination with HIV and tuberculosis antigens, indicating the potency of cationic liposomes [8, 9].

For the successful priming of naïve B- or T cells by DCs, more is needed than efficient antigen uptake and processing. During antigen presentation by the DCs to naïve lymphocytes, costimulatory signals are required. These are provided by the DCs, which can express costimulatory molecules such as CD40 (for B cells), CD80 and CD86 (for T cells) after maturation [10]. The maturation of DCs is considered to be of vital importance for the overall immunogenicity of a vaccine antigen [11]. In vitro DC maturation models can therefore be used as preclinical screening tools for vaccine formulations [12].

Immunostimulatory signals, which are often provided by pathogen-associated molecular patterns (PAMPs), are required for the activation of DCs. Inclusion of PAMPs such as Toll-like receptor (TLR) ligands or other molecules in liposomes is therefore a popular strategy to increase liposome
adjuvanticity [13, 14]. Cationic lipids also seem to affect DC maturation [15]. Besides the positive charge, other physical characteristics, such as lipid bilayer fluidity, may affect DC maturation [16]. Chemical differences between cationic lipids indeed have shown to affect DC maturation, underlining the significance of the lipid composition of cationic liposomes.

Design of experiments (DoE) is a statistical method to screen, identify and optimize important factors in various processes, such as pharmaceutical formulation development [17, 18]. It uses a minimal number of experiments to model the effects of each formulation parameter, which significantly accelerates the identification of optimal conditions. A DoE approach was recently employed to optimize the formulation process of itraconazole-loaded liposomes [19]. The authors were able to predict drug loading with a mathematical model obtained with DoE, and identify critical formulation parameters affecting drug loading. However, no attempts have been made yet to predict biological parameters, such as the adjuvanticity of liposomes, with DoE-like approaches.

In this study, the effects of liposomal lipid composition and peptide incorporation on the physicochemical characteristics and the adjuvanticity of liposomes were studied. To gain insight into the effects of each component with a minimal number of experiments, a DoE approach was used. The physicochemical characteristics of the liposomes were determined as the liposome size and zeta potential, while the liposome adjuvanticity was determined as liposome-induced in vitro expression of DC maturation factors CD40, CD80, CD83 and CD86. To this end, four lipids, i.e., egg-phosphatidylcholine (EPC), 1,2-dioleoyl-sn-glycero-3-phosphoethanolamine (DOPE), 1,2-dioleoyl-3-trimethylammonium-propane (DOTAP) and 3β-[N-(N’,N’-dimethylaminoethane)-carbamoyl] cholesterol (DC-Chol), and the HLA-A2.1-restricted influenza peptide GILGFVFTL (M158-66), were used to generate peptide-loaded liposomes with different lipid compositions. Liposome size and zeta potential were determined for each formulation, and prediction models for these parameters were generated by using a DoE approach. Simultaneously, the ability of these liposomes to maturate DCs was evaluated by determining the expression of DC maturation markers CD40, CD80, CD83 and CD86. With DoE, the most influential lipids were identified, and prediction models were generated for each maturation marker. Finally, the prediction models were validated by selecting a liposome with a previously untested lipid composition. A complete overview of the study is depicted in Figure 1.
Effects of liposomal composition on liposome size, zeta potential and adjuvanticity

**Figure 1.** Overview of the study concept. An experimental design describing liposomes with various lipid compositions and peptide concentrations is generated with DoE software. Liposomes are formulated according to the design. Then, liposomes characteristics such as size, zeta potential and liposome-induced dendritic cell maturation are determined for each liposome formulation. Models are subsequently fitted to the generated data. Finally, these models can be used to predict the liposome characteristics of liposomes with an untested lipid composition.
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MATERIALS AND METHODS

Reagents
The influenza peptide GILGFVFTL (M1 58-66) was synthesized at the Dutch Cancer Institute (NKI). All lipids (EPC, DOPE, DOTAP, DC-Chol) were purchased from Avanti Polar Lipids, bovine serum albumin (BSA), ethylenediaminetetraacetic acid (EDTA), 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES) and sodium chloride from Sigma-Aldrich, Iscove’s Modified Dulbecco’s Medium (IMDM) from Invitrogen, human granulocyte macrophage colony-stimulating factor (GM-CSF) from Peprotech, human IL-4 from Sanquin, anti-human CD40-PE and CD80-FITC from BD Pharmingen, anti-human CD83-APC and CD86-Pacific Blue from Biolegend, phosphate-buffered saline (PBS; 155 mM NaCl, 1.5 mM potassium phosphate monobasic, 2.7 mM sodium phosphate dibasic, pH 7.2) and Live/dead-Aqua from Life Technologies, lipopolysaccharide (LPS) E. coli K12 from Invivogen and Hyclone fetal calf serum (FCS) from Thermo Scientific.

Experimental design
To investigate the effect of the liposome composition on liposome size, zeta potential and liposome-induced DC maturation, a linear mixture model was selected with MODDE 10 (Umetrics) software. Boundaries for EPC, DOPE, DOTAP and DC-Chol fractions were set at 0 and 1 (with 1 being 100% of total lipid content). GILGFVFTL peptide content was set between 10 and 100 µg/mL. A D-optimal design was selected, which was composed of 18 runs, including a quadruple center point [20]. After the runs were completed, models for liposome zeta potential and DC maturation factors CD40, CD80, CD83 and CD86 were created with a partial-least square (PLS) regression. Data were log-transformed, if needed, and non-significant factors were removed from the model until R² (model fit) and Q² (model prediction power) were optimal.

Liposome formulation
Lipids were admixed (ratios according to the experimental design) to a total amount of 7.5 µmol in 10 ml chloroform. The lipid mixture was transferred to a 50 mL round bottom flask, and the chloroform was evaporated under reduced pressure at 40°C with a rotary evaporator (Buchi Rotavapor R-3). The obtained lipid film was subsequently rehydrated for 2 hours, room temperature at 250 rpm with a shaker (Edmund Bühler Swip KS-10) after addition of GILGFVFTL peptide (concentrations according to experimental design) dissolved in 1.5 mL buffer (10 mM HEPES, 100 mM NaCl, pH 7.4). After rehydration, crude liposomes were extruded five times through a 0.2-µm Nucleopore Track-Etch membrane (Whatman) with a 10-mL Lipex extruder (Northern Lipids Inc.). Each liposome formulation from the experimental design was made in duplicate.

Characterization of liposomes
Liposome size and polydispersity index (PDI) were determined by dynamic light scattering (DLS) using a Nanosizer ZS (Malvern Instruments). The zeta potential of the liposomes was determined after a 5 fold dilution in MilliQ water by laser Doppler velocimetry using a Nanosizer ZS with a universal dip cell (Malvern Instruments).
Maturation of human dendritic cells

Human CD14+ monocytes were isolated from fresh donor blood as described previously [21]. Monocytes were plated at a concentration of 0.4*10^6 cells/mL in 24-wells plates in IMDM medium containing 1% FCS, 500 U/mL GM-CSF and 800 U/mL IL-4. Monocytes were differentiated to immature dendritic cells (iDCs) after 6 days. iDCs were subsequently stimulated with either medium, LPS or liposomes in duplicate. After 24 hours incubation, cells were transferred to a 96-wells plate and washed twice with FACS buffer (PBS, pH 7.2, 0.5% BSA, 0.5 mM EDTA). DCs were stained with anti-human CD40, CD80, CD83, CD86 and live/dead staining for 30 minutes, and subsequently washed twice with FACS buffer. Samples were measured on a FACS Canto II flow cytometer (BD). Data were analyzed by using FlowJo 10 software for Mac OSX (Tree Star Inc.). Surface markers are reported as % of mean fluorescent intensity (MFI) relative to that induced by LPS.
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RESULTS

Liposome characteristics

A linear mixture model was selected to screen the effects of the lipids EPC, DOPE, DC-Chol and DOTAP on the size distribution and zeta potential of the liposomes and their ability to induce DC maturation. A D-optimal design was chosen, which generated a worksheet with 18 formulations (Table 1). Physical characteristics of the liposomes, i.e., size, PDI and zeta potential, were determined. Formulations N1, N2 and N8, which all contained DOPE as the only lipid, did not yield liposomes and were excluded from further experiments. Liposome sizes ranged from 150 to 194 nm, with an average of 170 nm (Figure 2A) and a low PDI (< 0.2), indicating that the liposomes were relatively monodisperse. As expected, the zeta potential of the liposomes containing a cationic lipid (DOTAP and/or DC-Chol) was positive, whereas formulations lacking a cationic lipid (N6, N11) showed a zeta potential close to zero (Figure 2B).

Based on the experimental results, PLS regression models were fitted for both liposome size and zeta potential data using MODDE software. These regression models allowed the identification and qualification of input parameters (being peptide, DOPE, DC-Chol, DOTAP and EPC) which significantly contributed to the output parameters (size and zeta potential). The model regression coefficients reflect the influence of the particular input parameter on the response of the output parameter. Valid models were obtained for both output parameters (Figure 3). Liposome size was influenced the most by EPC (Figure 3A), which increased liposome size when present in high amounts. Model validity for the zeta potential model was low (a value >0.25 indicates a good model fit), which is likely a model artifact caused by the high reproducibility [20]. DOTAP and EPC were the most significant model terms, with DOTAP increasing the zeta potential, and EPC decreasing it (Figure 3B). The incorporation of the peptide antigen had no influence on both liposome size and zeta potential, and was thus removed as a model term.

Response contour surface plots were generated for both liposome size and zeta potential after the fitting of the regression models (Figure 4). These surface plots visualize the predicted value of a response factor according to the corresponding lipid composition at that specific position in the plot (due to the two-dimensional nature of these plots and the multi-dimensional nature of the regression models, one input parameter is kept constant). As expected, both cationic lipids (DOTAP and DC-Chol) increased to zeta potential of the liposomes, whereas the zwitterionic lipids (EPC and DOPE) decreased it.
Effects of liposomal composition on liposome size, zeta potential and adjuvanticity

Table 1. Design of experiments worksheet. Lipids (DOPE, DC-Chol, DOTAP, EPC) are presented as fraction of total lipid content (1=100%).

<table>
<thead>
<tr>
<th>Formulation</th>
<th>Peptide (µg/mL)</th>
<th>DOPE</th>
<th>DC-Chol</th>
<th>DOTAP</th>
<th>EPC</th>
</tr>
</thead>
<tbody>
<tr>
<td>N1</td>
<td>10</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>N2</td>
<td>10</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>N3</td>
<td>10</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>N4</td>
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<td>0</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>N5</td>
<td>10</td>
<td>0</td>
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<td>0</td>
</tr>
<tr>
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<td>0</td>
<td>1</td>
</tr>
<tr>
<td>N7</td>
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<td>0.333333</td>
<td>0.333333</td>
<td>0.333333</td>
</tr>
<tr>
<td>N8</td>
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<td>0</td>
<td>0</td>
</tr>
<tr>
<td>N9</td>
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<td>0</td>
</tr>
<tr>
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<td>1</td>
<td>0</td>
</tr>
<tr>
<td>N11</td>
<td>100</td>
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<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>N12</td>
<td>100</td>
<td>0.333333</td>
<td>0</td>
<td>0.333333</td>
<td>0.333333</td>
</tr>
<tr>
<td>N13</td>
<td>100</td>
<td>0.333333</td>
<td>0.333333</td>
<td>0</td>
<td>0.333333</td>
</tr>
<tr>
<td>N14</td>
<td>100</td>
<td>0.333333</td>
<td>0.333333</td>
<td>0.333333</td>
<td>0</td>
</tr>
<tr>
<td>N15</td>
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<td>0.25</td>
<td>0.25</td>
<td>0.25</td>
<td>0.25</td>
</tr>
<tr>
<td>N16</td>
<td>55</td>
<td>0.25</td>
<td>0.25</td>
<td>0.25</td>
<td>0.25</td>
</tr>
<tr>
<td>N17</td>
<td>55</td>
<td>0.25</td>
<td>0.25</td>
<td>0.25</td>
<td>0.25</td>
</tr>
<tr>
<td>N18</td>
<td>55</td>
<td>0.25</td>
<td>0.25</td>
<td>0.25</td>
<td>0.25</td>
</tr>
</tbody>
</table>

Figure 2. Liposome characteristics. (A) Size and polydispersity index (PDI) of liposomes were determined by dynamic light scattering. (B) The zeta potential of the liposomes was determined by laser Doppler velocimetry. Data represent mean ± upper/lower values (n = 2).
DC maturation by liposomes
The effect of the liposomal lipid composition on DC maturation was evaluated by measuring four DC maturation markers (CD40, CD80, CD83 and CD86) on maturated DCs 24 hours after stimulation with the liposome formulations from the experimental design. The formulations were tested in duplicate on immature DCs isolated from two different donors (donors 1 and 2). LPS was taken as a positive control and reference sample in both experiments. The expression of CD40, CD80, CD83 and CD86 by DCs (derived from donor 1) after stimulation with the liposomes is presented in Figure 5.

Datasets from both experiments were fitted with PLS regression models per individual maturation marker, and the resulting models and their coefficients are summarized in Figure 6 (maturation experiment on DCs derived from donor 1) and Figure S1 (maturation experiment on DCs derived from donor 2). While the resulting models differed between experiments (most likely due to donor variability), the models showed similar trends. Since this study concerned a proof-of-principle, we opted to investigate the models obtained with DCs derived from donor 1 in more detail, since

Figure 3. Regression models for liposome size (A) and zeta potential (B). On the left summaries of fit for the models are displayed. Model fit ($R^2$, >0.5 indicates a good model fit to the data), prediction power ($Q^2$, > 0.5 indicates sufficient prediction power), model validity (>0.25 indicates that the model error is smaller than the experimental error) and reproducibility (>0.5 indicates a small experimental error) are shown. On the right, normalized model regression coefficients are displayed. Coefficients with a 95% confidence interval that does not cross zero are significant terms.
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The overall fit of the models from donor 1 were better than those of donor 2.

For both CD40 and CD80 responses models were yielded with a high model fit, validity and reproducibility. Formulation N14 was statistically found to be an outlier, and was subsequently removed from all models. For both CD40 and CD80, the DOTAP lipid was found to be the most significant model term, indicating that the presence of DOTAP in the liposomes induces CD40 and CD80 expression by DCs. The model for CD83 had a relatively low model validity, which again might be a model artifact caused by the high reproducibility. The two cationic lipids, DC-Chol and DOTAP, were the most significant model terms for CD83. The model for CD86 was valid, but suffered overall from a relatively low model fit, predictability and reproducibility. This was confirmed with the model

**Figure 4.** Response contour plots for liposome size and charge. Lipid amounts are displayed as a fraction of 100% total lipid. The fraction of the least influential lipid was set at a constant fraction of 0.25. The values in the boxes and associated color regions represent the predicted response (either size (nm) or zeta potential (mV)) for that particular lipid composition.

**Figure 5.** Dendritic cell maturation marker expression after stimulation with either medium or liposomes. Maturation markers are expressed as % mean fluorescent intensity (MFI) relative to that induced by LPS (MFI\textsuperscript{LPS}). Data represent mean + upper value (n = 2).
coefficients, which all have a non-significant contribution to the CD86 response, indicating that no single lipid had a great effect. Similar to the models for liposome size and zeta potential, the peptide content was a non-significant model term in all the models for the maturation markers. The response contour surface plots of all four maturation markers are displayed in Figure 7. From these figures it can be clearly seen that in general, a high fraction of DOTAP and to a lesser extent DC-Chol, has a positive effect on the expression of all maturation markers. Furthermore, the inclusion of DOPE generally had a negative effect on the maturation. The lipid EPC was non-influential for most responses, and is therefore still suited as a helper lipid to produce stable liposomes.

Prediction power of obtained models
As described previously, valid prediction models were obtained for liposomal size, zeta potential and all four DC maturation markers. The prediction power of the models was tested by selecting a liposomal formulation that was not yet included in the D-optimal design. For proof-of-principle purposes, an initial target response factor was set for the liposome formulation. In this case, a target liposomal zeta potential was set. Since most formulations in the experimental design showed a zeta potential of either above 60 mV or 0 mV, a target zeta potential of 30 mV was chosen. The zeta potential prediction model subsequently gave a lipid composition (Table 2) which should yield liposomes with a zeta potential of 30 mV. The lipid EPC was non-influential for most responses, and is therefore still suited as a helper lipid to produce stable liposomes.

Next, liposome formulation N19 was added to immature DCs, and DC maturation markers were determined. The experimentally acquired data were similar to the predicted means (Table 3), indicating that the predictions made by the models were accurate.

Table 2. Lipid composition of a liposome formulation predicted to have a zeta potential of 30 mV by the zeta potential model.

<table>
<thead>
<tr>
<th>Formulation</th>
<th>Peptide (µg/mL)</th>
<th>DOPE</th>
<th>DC-Chol</th>
<th>DOTAP</th>
<th>EPC</th>
</tr>
</thead>
<tbody>
<tr>
<td>N19</td>
<td>13.4</td>
<td>0.03</td>
<td>0.07</td>
<td>0.13</td>
<td>0.77</td>
</tr>
</tbody>
</table>
Figure 7. Response contour plots for DC maturation markers induced by liposomes. Lipid amounts are displayed as a fraction of 100% total lipid. The fraction of the least influential lipid was set at a constant fraction of 0.25. The values in the boxes and associated color regions represent the predicted response (either CD40, CD80, CD83 or CD86, all in % of LPS-induced expression) for that particular lipid composition.
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Table 3. Assessment of the validity of the prediction models. The liposome size, zeta potential and liposome-induced maturation markers were predicted by the acquired models for formulation N19. Predictions are expressed as mean ± 95% confidence intervals. Measured values are given as mean ± upper/lower values (n = 2).

<table>
<thead>
<tr>
<th></th>
<th>Predicted mean</th>
<th>Lower</th>
<th>Upper</th>
<th>Measured</th>
</tr>
</thead>
<tbody>
<tr>
<td>Size (nm)</td>
<td>188.5</td>
<td>183.3</td>
<td>193.7</td>
<td>181.1 ± 8.7</td>
</tr>
<tr>
<td>PDI</td>
<td>n.a.*</td>
<td>n.a.</td>
<td>n.a.</td>
<td>0.12 ± 0.01</td>
</tr>
<tr>
<td>Zeta potential (mV)</td>
<td>30.0</td>
<td>17.1</td>
<td>39.5</td>
<td>30.3 ± 6.2</td>
</tr>
<tr>
<td>CD40 (% MFI&lt;sup&gt;15&lt;/sup&gt;)</td>
<td>52.3</td>
<td>40.1</td>
<td>64.5</td>
<td>46.2 ± 16.8</td>
</tr>
<tr>
<td>CD80 (% MFI&lt;sup&gt;15&lt;/sup&gt;)</td>
<td>32.9</td>
<td>30.9</td>
<td>34.7</td>
<td>31.1 ± 3.9</td>
</tr>
<tr>
<td>CD83 (% MFI&lt;sup&gt;15&lt;/sup&gt;)</td>
<td>13.1</td>
<td>9.6</td>
<td>17.7</td>
<td>13.0 ± 4.0</td>
</tr>
<tr>
<td>CD86 (% MFI&lt;sup&gt;15&lt;/sup&gt;)</td>
<td>24.8</td>
<td>19.7</td>
<td>29.8</td>
<td>26.1 ± 6.8</td>
</tr>
</tbody>
</table>

* Not applicable; no valid prediction model for PDI was generated in this study.
DISCUSSION

From a historical perspective, most researchers are inclined to vary one factor at a time (OFAT) when systematically screening or optimizing a certain system or formulation. Such OFAT approaches however are ineffective, since the number of experiments increases exponentially when a variable is added to the design. Another drawback of OFAT is that important interactions between the parameters can be missed. Utilizing a DoE approach instead solves some of these OFAT-associated constraints, by decreasing the number of experiments needed to screen multiple variables, and to visualize interactions with the aid of statistical models. Furthermore, prediction models can be generated from the existing data, which can predict inter- or extrapolated variables that have not been tested yet.

In the current study, a DoE approach was used to investigate a five-component (one antigen and four lipids) liposomal system with respect to physicochemical properties and biological activity: size, zeta potential and liposome-induced DC maturation. While DoE approaches are increasingly used for the formulation and process development of pharmaceuticals [4], they have been rarely used in studies involving liposomes. Two previous studies investigated the role of different liposome formulation processes on the encapsulation efficiency of either a poorly soluble drug molecule [19], itraconazole, or a small peptide [22]. These studies proved that the DoE approach is applicable for the development and optimization of liposomal formulations. In this current study, it was found that the liposomal lipid composition affected liposomal characteristics such as size, zeta potential and liposome-induced DC maturation. The inclusion of a peptide antigen, however, was not of influence on any of these factors.

It is clear from our results that the liposomal lipid composition influenced the expression of DC maturation markers. However, not much is yet known on the individual effects of these lipids on expression of CD40, CD80, CD83 or CD86. Vangasseri et al. previously demonstrated that liposomes containing DOTAP effectively induced CD80 and CD86 expression by DC2.4 cells [16]. When the cationic head group of DOTAP was replaced by anionic or neutral head groups, the liposomes lost their ability to induce DC maturation. Similarly, replacement of the unsaturated fatty acid chain of DOTAP with saturated analogues was detrimental to the maturation response. Addition of counter ions to the cationic liposomes also did not affect their ability to induce DC maturation. Another study showed similar results with DOTAP:DOPC liposomes; a higher molar ratio of DOTAP correlated with increased CD83 and CD86 expression by human monocyte-derived DCs [23]. From these results, it was hypothesized that not only the zeta potential of the liposomes, but also the chemical composition of the lipids influenced the immunostimulatory properties of liposomes.

Our results confirm that liposomes containing cationic lipids, particularly DOTAP, were able to induce DC maturation. Contrarily to the expression of CD80, CD86 and CD40, the CD86 marker expression was more sensitive to DC-Chol than to DOTAP. It has been previously reported that DC-Chol liposomes also have an immunostimulatory effect on DCs [15]. The difference in expression of the
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maturation markers with these two cationic lipids might be related to the chemical and structural differences between the lipids, as mentioned earlier. Further studies are needed to elucidate the underlying mechanisms for these differences, in order to support the rational design of optimal cationic liposomes for the induction of DC maturation and subsequent immune responses.

The maturation experiments in the current study were performed on immature DCs derived from human blood monocytes isolated from donors. This introduces a donor variety into the DC studies, which can have a large effect on the prediction models. Indeed, the obtained prediction models from experiments using two differed iDC donor sources showed some differences due to biological donor variety. To eliminate this biological variability from the models, future investigations could be performed on immortalized DC cell lines. Human-derived DC cell lines such as MUTZ-3 have been used to screen vaccine immunogenicity, and showed consistent maturation responses opposed to monocyte-derived DCs from fresh blood, which showed a large donor variability [24]. Using such cell lines would probably yield prediction models that can be used continually on the same cell line, which is a huge advantage for the reproduction of the experiments. When combined, the current DoE approach and established DC cell lines could form an effective platform to rapidly screen liposomal (and other) vaccine formulations without the use of animal studies [12].

Aside from the liposome-induced DC maturation responses, the effects of lipid composition on liposome size and zeta potential were investigated and modeled. While the size of the liposomes is mostly dictated by the formulation method (e.g., extrusion and sonication), the lipid composition does influence the size to some extent. This may be accredited to differences in lipid tail length, molecular shape and membrane fluidity, but also the incorporation of charged lipids. Nonetheless, the size variations observed in this study were small, and therefore most likely did not influence size-dependent mechanisms, such as uptake by DCs [25]. The zeta potential of the liposomes was influenced by the lipid composition. The cationic lipids DC-Chol and DOTAP both increased the zeta potential of the liposomes, while EPC had a neutralizing effect on the zeta potential. The acquired model for zeta potential could accurately predict a suitable lipid composition of a liposome with a zeta potential of 30 mV. The ability to predict the zeta potential of a liposome according to its lipid composition could be a powerful tool, since the zeta potential of liposomes affects several factors [26], such as their colloidal stability (electrostatic repulsion), encapsulation efficiency of a drug or antigen (electrostatic attraction) and depot formation at the injection site.

In conclusion, this study shows the usefulness of a DoE approach to investigate the influence of the lipid composition and antigen content of liposomes on their physicochemical characteristics (size and zeta potential) and biological effect (maturation of DCs). The obtained models were able to accurately predict liposome size, zeta potential, and relative levels of liposome-induced DC maturation factors CD40, CD80, CD83 and CD86. This approach could be a valuable method for the development of liposome-based vaccine adjuvants.
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Effects of liposomal composition on liposome size, zeta potential and adjuvanticity

REFERENCES


Figure S1 (right). Regression models for dendritic cell maturation markers CD40 (A), CD80 (B), CD83 (C) and CD86 (D) of the second maturation experiment. On the left summaries of fit for all models are displayed. Model fit ($R^2$, >0.5 indicates a good model fit to the data), prediction power ($Q^2$, > 0.5 indicates sufficient prediction power), model validity (>0.25 indicates that the model error is smaller than the experimental error) and reproducibility (>0.5 indicates a small experimental error) are shown. The normalized model regression coefficients are displayed on the right. Coefficients with a 95% confidence interval that does not cross zero are significant terms.
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SUPPLEMENTAL DATA

A

B

C

D

CD40

CD80

CD83

CD86

Regression coefficient

Regression coefficient

Regression coefficient

Regression coefficient

R²

Model validity

Q²

Reproducibility