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Chapter 2

Physiotherapy in hip and knee osteoarthritis: development of a practice guideline concerning initial assessment, treatment and evaluation

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Abstract

Background: An update of a Dutch physiotherapy practice guideline in Hip and Knee Osteoarthritis (HKOA) was made, based on current evidence and best practice.

Methods: A guideline steering committee, comprising 10 expert physiotherapists, selected topics concerning the guideline chapters: initial assessment, treatment and evaluation. With respect to treatment a systematic literature search was performed using various databases, and the evidence was graded (1-4). For the initial assessment and evaluation mainly review papers and textbooks were used. Based on evidence and expert opinion, recommendations were formulated. A first draft of the guideline was reviewed by 17 experts from different professional backgrounds. A second draft was field-tested by 45 physiotherapists.

Results: In total 11 topics were selected. For the initial assessment, three recommendations were formulated, pertaining to history taking, red flags, and formulating treatment goals. Concerning treatment, 7 recommendations were formulated; (supervised) exercise therapy, education and self-management interventions, a combination of exercise and manual therapy, postoperative exercise therapy and taping of the patella were recommended. Balneotherapy and hydrotherapy in HKOA, and thermotherapy, TENS, and Continuous Passive Motion in knee OA were neither recommended nor discouraged. Massage therapy, ultrasound, electrotherapy, electromagnetic field, Low Level Laser Therapy, preoperative physiotherapy and education could not be recommended. For the evaluation of treatment goals the following measurement instruments were recommended: Lequesne index, Western Ontario and McMaster Universities osteoarthritis index, Hip disability and Osteoarthritis Outcome Score and Knee injury and Osteoarthritis Outcome Score, 6-minute walk test, Timed Up and Go test, Patient Specific Complaint list, Visual Analogue Scale for pain, Intermittent and Constant OsteoArthritis Pain Questionnaire, goniometry, Medical Research Council for strength, handheld dynamometer.

Conclusions: This update of a Dutch physiotherapy practice guideline on HKOA included 11 recommendations on the initial assessment, treatment and evaluation. The implementation of the guideline in clinical practice needs further evaluation.

Introduction

The physiotherapist plays an important role in the health care process of the patients with hip and knee osteoarthritis and could be recommended, based on evidence in literature. In 2001 the KNBF Guideline for physiotherapy in patients with Hip and Knee Osteoarthritis (HKOA) of the Royal Dutch Society for Physiotherapy was developed. A revision was desirable, as since 2001 there has been a substantial increase of publications regarding clinical studies and national and international guidelines on HKOA. Moreover, the existing Dutch physiotherapy guideline did not include recommendations on outcome measures, and did not provide recommendations on the pre- and postoperative management of patients undergoing hip or knee joint replacement. In addition, the existing Dutch physiotherapy guideline was not using the International Classification of Functioning, Disability and Health (ICF) as a framework to systematically examine a patient’s health status and to plan intervention strategies and their evaluation by standardized outcome measures.

The aim of the current revision was to describe evidence-based physiotherapy for HKOA, including initial assessment, interventions, and assessment of outcome, based on the ICF.

Methods

General methodology and Guideline Steering Committee

The revision of the guideline took place between September 2008 and January 2010, following national international methods for guideline development and implementation. The guideline was developed by a Guideline Steering Committee comprising 10 expert physiotherapists. Based on the existing Dutch physiotherapy guideline on HKOA and relevant umbrella reviews, systematic reviews and guidelines published since 2001, two members (WP and TVV) proposed a preliminary list of topics to the Guideline Steering Committee. During a consensus meeting, 11 topics (9 for history taking and examination, 7 for treatment (interventions) and 1 for outcome measures) were selected.

Step 1: Literature search

A literature search was performed up to June 2009 in the MEDLINE, EMBASE, CINAHL, PEDro, Web of Science and Cochrane Library databases to identify systematic reviews, meta-analysis, and randomized controlled trials (RCTs). The central search strategy ‘Osteoarthritis’ (MESH) was combined with ‘Hip’ and ‘Knee’ and other MESH-headings and/or free text words such as ‘physiotherapy’, ‘physiotherapy’ (MESH), ‘physiotherapy modalities’ (MESH), ‘exercise therapy’, ‘education’, and ‘self-management’ (MESH). Studies were selected if sufficient data were reported with regard to the physiotherapy
Step 2: Categorizing evidence
The selected literature was critically appraised by assessing the type and quality of the study design. Evidence was graded according to the EBRO (Evidence Based Recommendation Development) (see Table I), which is in line with international classification schemes, such as the NICE (National Institute of Clinical Effectiveness) approach. EBRO is an initiative of the Dutch Cochrane Center and the Dutch Institute for Healthcare Improvement (CBO), a member of the Guidelines International Network (GIN).

Step 3: Strength of recommendations
By means of five consensus meetings and eight feedback rounds of the Guideline Steering Committee, recommendations were formulated and their strength graded A–D, based on the category of efficacy evidence (Table I).

Table 1. From scientific evidence and expert opinion to recommendations according to the EBRO (Evidence Based Recommendation Development), which is in line with international classification schemes, such as the NICE approach.

<table>
<thead>
<tr>
<th>Level of evidence</th>
<th>Grades of recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>A1: Meta-analyses (systematic reviews), which include at least two Randomized Controlled Trials at quality level A2 that show consistent results between studies</td>
</tr>
<tr>
<td>2</td>
<td>A2: Randomized Controlled Trials of a good methodological quality (randomized double blind controlled studies) with sufficient power and consistency</td>
</tr>
<tr>
<td>3</td>
<td>B: Randomized Controlled Trials of a moderate methodological quality of with insufficient power, or non-randomized, cohort of patient-control group study involving intergroup comparisons</td>
</tr>
<tr>
<td>4</td>
<td>C: Patient series</td>
</tr>
<tr>
<td>5</td>
<td>D: Expert opinion</td>
</tr>
<tr>
<td>6</td>
<td>E: Expert opinion</td>
</tr>
</tbody>
</table>

Results

I. Initial assessment
In the Netherlands, physiotherapy can be accessed with or without a referral from a doctor (also called “direct access”). The initial assessment comprises history taking, physical examination and analysis. History taking and physical examination are performed to get a comprehensive overview of the patient’s health status. This assessment includes screening for red flags. The doctor must be consulted in case of a red flag after deliberation with the patient. With the analysis, the patient’s main limitations and impairments are prioritized, and treatment goals and a treatment plan are formulated, and in close collaboration with the patient, treatment goals are set, with the focus on limitations of activity and restriction in participation. The total initial assessment process is described in Figure 1.

Clinical question 1: In which way the patient’s health status can be assessed?

RECOMMENDATION 1:
- The physiotherapist should assess the patient’s health status primarily in terms of activity limitations and participation restrictions (level 4).
- In addition, the therapist may also assess impairments of body function and structure, as well as personal and environmental factors, insofar as these relate to the limitations and restrictions (level 4).

An overview of the most relevant health problems in HKOA patients was made, based on the short version of the International Classification of Functioning, Disability and Health (ICF) Core Set for Osteoarthritis, supplemented with clinical relevant items, best practiced based, and completed with a number of personal factors (Figure 2). This overview is recommended to be used for the setting of treatment goals, the formulation of the treatment plan and the evaluation.
Figure 1. Description of the total initial assessment process

Clinical question 2: Which contraindications for physiotherapy should be taken into account in patients with HKOA?

RECOMMENDATION 2:
Physiotherapists should evaluate the presence of “red flags” (level 4).

The following specific red flags in HKOA patients were defined:
- A warm, swollen (red) knee joint
- A swelling in the groin
- Severe blockade of the knee joint
- (Extreme) pain at rest

And in the presence of one or more joint replacement prostheses:
- Fever
- Infection
- And inexplicable extreme pain in hip or knee joint.

Clinical Question 3: How does the physiotherapist set treatment goals?

RECOMMENDATION 3:
Based on information obtained in the initial assessment, in cooperation with the patient and according the ICF, the physiotherapist should define the therapeutic goals (level 4).

Based on the description of the health status and the presence of barriers and facilitators, individual treatment goals should be defined. Goal setting is a shared process between the physiotherapist and the patient. Treatment goals are set in terms of the ICF, with the focus on limitations of activities and restriction in participation. Goals should be formulated according to the SMART principles (specific, measurable, achievable, realistic, and timed), for example: being able to walk 800 meters (from home to the supermarket and back) two times a week in six weeks.

II. Interventions

With respect to the literature search concerning the therapeutic process, 22 systematic reviews and 74 RCTs (published after these reviews) were selected.

Clinical question 4: Which physiotherapy intervention should or should not be given in HKOA?

RECOMMENDATION 4:
(supervised) exercise therapy aimed at reducing pain and improving physical functioning should be applied during the physiotherapy treatment of HKOA patients (level 1).

Based on the literature exercises are recommended, but no specific intensity of exercises could be defined. However, although there is a lack of evidence concerning the optimal type of exercises and their intensity, most research pertained to programs
including aerobic and/or muscle strengthening exercises, and possible combined with ROM and functional exercises. In previously published international multidisciplinary guidelines and a Dutch multidisciplinary guideline in HKOA management exercise therapy is recommended. There are no recommendations on intensity, specific exercise forms, number of treatment or follow up sessions, and supervision. In addition to the abovementioned recommendation on exercise therapy, there was overall consensus within the Guideline Steering Committee that exercises should comprise at least muscle strengthening exercises, exercises to improve aerobic capacity, functional exercises, and gait training, either as a single treatment or combined with each other, depending on treatment goals. The exercise program must have a focus on limitations of activities and restrictions in participation. In some cases the exercise therapy could be adjusted to individual treatment goals. For example joint proprioception and balance training or a behavioural graded activity strategy. Decreasing the frequency of treatment sessions at the end of the treatment is needed to help the patient to achieve an independent adequate level of physical activity. To improve the transition to recreational or sport activities the HKOA patient must be guided by the physiotherapist.

**RECOMMENDATION 5:**

Physiotherapists should provide education and promote adequate self-management in patients with HKOA (level 2).

Based on literature education and promotion of adequate self-management are recommended, provided in combination with exercise therapy (level 2). Because of the variety of interventions in the literature, it is unclear which content of education or self-management intervention is best in HKOA. In international multidisciplinary guidelines and a Dutch multidisciplinary guideline in HKOA management education and self-management is recommended as an effective intervention as an adjuction to exercise therapy. The Guideline Steering Committee recommend that the content of the intervention comprise the following items: knowledge and understanding of HKOA; the consequences of HKOA on functions, activities and participation; the relation between the mental and physical load and carrying capacity; the way to deal with complaints caused by HKOA; an active and healthy lifestyle (moving, nurturing, overweight); change in moving behavior; joint protection and the use of (walking) aids (level 4). The physiotherapist needs to support the patient in remaining a healthy physical activity level.

**RECOMMENDATION 6:**

Exercise therapy should be combined with manual therapy in cases of pain and reversible limitation in joint mobility (level 2).

If there is pain in combination with a limitation in joint mobility it is recommended to add manual therapy to exercise therapy (level 2). In international multidisciplinary

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**Figure 2. Overview of the most relevant health related problems in hip and knee osteoarthritis patients**

**Body structures and functions:**
- Proprioception (b260)
- Sensation of pain (b280)
- Mobility of joint functions (b710)
- Stability of joint functions (b715)
- Muscle power functions (b730)
- For example alignment
- Additional musculoskeletal structures related to movement (b770)
- For example muscle atrophy, hypertonia

**Activities:**
- Changing basic body positions (d410)
  - Bend, squat, kneel down
  - Rising and sitting down a bed, chair
  - Stepping in and out a car
- Lie down, turning in bed
- Walking (d450)
- Standing (long) and sitting (long)
- Moving around (d455)
  - Walking stairs
  - Cycling, driving
  - Using bus/train/metro
- Washing oneself (d510)
- Toileting (d530)
- Dressing (l40)

**Participation:**
- Paid work (d850)
- Voluntary work (d855)
- Social life (d910)
- Recreation, leisure and sports (d920)

**Environmental factors:**
- Products and technology for personal use in daily living (e115)
  - a.o. adaptations en devices
- Products and technology for employment (e135)
  - For example a work chair
- Products and technology for cultural, leisure and sports activities (e140)
- Technical aspects of public buildings (e150)
  - a.o. elevator
- Immediate family (e310), friends (e320), personal care providers and personal assistants (e340), health professionals (e355), colleagues
- Health services, systems and policies (e580)
  - a.o. health professionals (e355), care institutions, health insurance

**Personal factors:**
- Age
- Sex
- Ethnicity
- Social background
- Profession
- Today and past experience
- Comorbidity (a.o. other joint disorders, heart- and lung failure, Diabetes Mellitus
- Personal signs
- Lifestyle
- Coping
- Life skills
- Illness perception

**Figure 2. Overview of the most relevant health related problems in hip and knee osteoarthritis patients**
guidelines and a Dutch multidisciplinary guideline in HKOA management, manual therapy is not mentioned or classified by exercise therapy.

In the Netherlands it is common to use the combination of exercise therapy with manual therapy. Within the Guideline Steering Committee there was consensus that manual therapy could be considered as a preparation for exercise therapy in HKOA in case of pain and a reversible limitation in joint mobility. The manual therapy should comprise manipulation, manual traction, and muscle stretching exercises in Hip OA. In Knee OA anterior/posterior mobilizations of the tibia-femoral joint and the patella, and muscle stretching exercises could be considered.

**RECOMMENDATION 7:**
Exercise therapy aimed at improving physical functioning should be applied after hip and knee joint replacement surgery (level 1).

Postoperative exercises are recommended in hip and knee joint replacement surgery and should comprise muscle strengthening exercises and exercises focusing on functional activities (level 2). 32-35 No recommendations on postoperative exercises are given in international guidelines in HKOA management. In a Dutch multidisciplinary guideline on hip and knee OA, postoperative exercise therapy is recommended. 36

**RECOMMENDATION 8:**
Taping the patella should be adjusted to muscle strengthening exercises and exercises focusing on functional activities to decrease pain in patella-femoral OA (level 2).

There is evidence to recommend taping in patella-femoral OA. 37, 38 In international and Dutch guidelines included no recommendations on taping and patello-femoral OA. In the Netherlands often taping is used as a support to make it more possible to do exercises in patello-femoral OA.

**RECOMMENDATION 9:**
The provision of hydrotherapy, balneotherapy, thermotherapy, preoperative physiotherapy in HKOA, and transcutaneous electrical neurostimulation (TENS) in knee OA, and continuous passive motion (CPM) in postoperative knee OA, can neither be recommended nor discouraged (level 1, 4).

There is conflicting evidence that hydrotherapy is effective in HKOA (level 1). 45-47 No recommendations are made in international and Dutch guidelines. In the Netherlands it is no common intervention, but in some countries Spa therapy has a benefit in HKOA patient’s physical and mental wellbeing. There is some evidence that ice massage is effective as a cold application in knee OA. 48 An international guideline (OARSI) is mentioning that in some circumstances warmth or could applications could be beneficial in relieving pain. 49 There was overall consensus within the Guideline Steering Committee that an application of cold could be considered if there is severe pain in knee OA. The application of warmth could be considered as preparation for exercise therapy in patients with severe joint stiffness or difficulty in relaxing the muscles.

The Guideline Steering Committee advises against the use of local heat application in case of active joint inflammation which sometimes occurs in knee OA (level 4). There is conflicting evidence that TENS is effective to relieve pain in knee OA (level 1). 49-52 An international guideline recommends TENS for the short term (OARSI) and a Dutch multidisciplinary guideline 53-54 recommend TENS to decrease pain and stiffness as a second choice if medication and exercises turned out to be not effective. The Guideline Steering Committee suggests that TENS could be considered as a support for exercise therapy in individual cases with severe pain but not as a first choice (level 4). Concerning physiotherapy around joint replacement surgery there is conflicting evidence that CPM is effective after total knee surgery. 51-54 CPM is a common intervention after knee surgery to increase knee joint mobility. There is lack of evidence after knee surgery to recommend CPM according a Dutch multidisciplinary guideline. 55 The Guideline Steering Committee could not recommend or advise against CPM (level 1). Preoperative exercises could not be recommended based on current evidence (level 3). 55-58 There are no recommendations mentioned in international guidelines on HKOA management. A Dutch multidisciplinary guideline could not recommend preoperative exercises. But literature indicates that a good functional status before surgery is an important predictor on postoperative recovery. Within the Guideline Steering Committee there was an overall consensus that preoperative exercises could be considered in cases of poor preoperative status in patients with multiple comorbidity and other affected joints (level 4).

Finally preoperative education could be considered according the Guideline Steering Committee if there is much anxiety for the operation (level 4). The education should then be focused on information about the operation and the period the patient stays in the hospital.
RECOMMENDATION 10:  
The provision of massage, ultrasound, electrotherapy, electromagnetic field, and Low Level Laser Therapy (LLLT), cannot be recommended in HKOA (level 1, 2, 4).

There is little evidence that massage is effective in knee OA (level 2).59 In the Netherlands massage was a common physiotherapy intervention. Nowadays there is no place for massage in the active treatment strategy for HKOA. There is conflicting evidence for the use of ultrasound in knee OA (level 2).60,61 The Health Council of the Netherlands (Gezondheidsraad) has advised against the use of ultrasound, except for the application in patients with a tennis elbow. Therefore the Guideline Steering Committee decided not to recommend ultrasound.

For electrotherapy there is conflicting evidence for the effectiveness in knee OA (level 3).49,50 Electrotherapy is not common in the Netherlands as treatment for knee OA. Based on the current evidenced and best practice electrotherapy cannot be recommended.

No evidence can be found to support the use of electromagnetic field in the treatment of HKOA (level 1).50,62,63

There is evidence that LLLT is effective in decreasing pain (level 1)50, but it is a very uncommon intervention in the Netherlands. Further, there are other interventions that can be recommended to decrease pain why the Guideline Steering Committee did not recommend LLLT in knee OA (level 4).

In international and Dutch guidelines there are no recommendations for the use of massage, ultrasound, electrotherapy, electromagnetic field and LLLT in the treatment of HKOA.1,3-7

III. Assessment of outcome

For the evaluation of treatment goals in HKOA patients several measurement instruments are available. Recommended measurement instruments pertain to ICF chapters activities and participation and body functions and structures and were chosen based on their applicability. The latter included the availability of a Dutch version must be available, no special training should be necessary and the measurement should have a good applicability in daily clinical practice. The measurement instruments classified according the ICF are shown in Figure 3.

Clinical question 5: Which measurement instrument should be used to evaluate treatment?

RECOMMENDATION 11:

A combination of questionnaires (preferably the patient specific complaint list (PSK)) and performance testing (preferably the Timed Up and Go test (TUG)) is recommended to use in the initial assessment and evaluating treatment goals and should have the focus on the ICF component in which the patient present his complaints.
the time in seconds in which the patient stand up from a chair, walk three meters, turn around, walk back and sit down on the chair. The test must take place in comfortable speed. Other measurement instruments that are recommended in HKOA patients are shown in Figure 2. In this figure the connections between the measurement instruments to the different components of the ICF are clarified. For measuring pain there is a choice to use two different scales: A Visual Analogue Scale (VAS) for pain is usually a horizontal line of 100 millimeters. The VAS is filled in by the patient as described at the PSK. If the pain is intermittent, which occur in HKOA patient the Intermittent and Constant OsteoArthritis Pain (ICOAP) could be used. This questionnaire is taken into account intermittent pain experience by the patient, for example in using pain medication by the patient. For measuring strength the use of a handheld dynamometer is recommended or if that is not available, the Medical Research Council (MRC) for strength is recommended as an alternative. The Range Of Motion (ROM) should be measured by goniometry. A Measurement instrument to measure walking and aerobic capacity is the 6 minute walk test. During the 6-minutes’ walk test the patients have to walk 6 minutes at a self-chosen walking speed and they have to try to overcome as much distance as possible, without running. The accomplished distance is the total distance at the end of the 6 minutes.

Finally to measure limitation in activities and restrictions in participation four different questionnaires are recommended. The choice between those four depends on the joint and the treatment goals. The Western Ontario and McMaster Universities osteoarthritis index (WOMAC) measures limitations in activities as well as pain and stiffness in HKOA patients. The Lequesne index has its focus on limitations in walking distance and pain during walking in HKOA. The HOOS and the KOOS ask besides limitation in activities also for restrictions in participation in sports and recreational activities and quality of life, respectively in Hip OA and Knee OA. Table II shows an overview of all recommendations.

Discussion

This study describes the development of a physiotherapy (PT) specific guideline for the management of HKOA. This guideline is based on recent research evidence and expert opinion. It was developed according to standardized procedures for formulating recommendations. The guideline describes the process of initial assessment, including PT interventions and various measurement instruments that can be used to evaluate treatment.

In contrast with other guidelines, this guideline gives recommendations on initial assessment and evaluation of treatment. The ICF framework has a central place in this guideline. An overview is added concerning the ICF linked health related problems and measurement instruments. This linking on the ICF is also been used in two recently developed PT guidelines on hip osteoarthritis and meniscal and articular cartilage lesions of the knee.

Another difference between this guideline and other (multidisciplinary) guidelines on HKOA is that the recommendations are formulated not only based on literature but also considerations from daily practice are playing an important role in formulating recommendations. For example: although there is evidence that laser therapy could be effective in knee OA, it is not a common intervention in the Netherlands and furthermore the National Health Counsel (Gezondheidsraad) is not recommending the use of laser in knee OA patients. Concerning other interventions (hydrotherapy and thermotherapy) in which the evidence is sometimes weak, the guideline steering committee decided that the intervention only could be considered in specific individual cases after good clinical reasoning.

Among multidisciplinary guidelines ICSI Health Care is giving annotations in the initial assessment. But in treatment they have a more passive approach since recommendations on electrical therapy and massage were given for pain relief, while this guideline has a clearly active approach without recommendations on passive modalities like massage, electrotherapy, laser, ultrasound and electromagnetic field.

Exercise, education and self-management interventions are overall recommended in national and international multidisciplinary guidelines on HKOA. For exercises and manual therapy the recommendations are comparable with those from the Ottawa panel. Also TENS in knee OA is overall recommended. But this guideline is more cautious based on recent evidence.

In contrast with other national and international multidisciplinary guidelines on HKOA this guideline gives recommendations concerning physiotherapy treatment before and after total hip or knee replacement in osteoarthritis. Only the Dutch multidisciplinary CBO guideline comprise operative exercises based on expert opinion for example in case of worse physical status of the patient before surgery.

The MOVE consensus mentions contra-indicators and barriers for exercise. The Dutch PT guideline pre-empt this by formulating general and specific red flags for HKOA. But these red flags are not only concerning exercises but also PT treatment in general. Besides barriers also facilitators which can influence outcome of treatment, are described.

Guidelines, recommendations and protocols on hip and knee will be available in many different countries, published or not. Discrepancies exist based on date (of publication) or the different national usual method of treatment. International cooperation between PT societies may be a following step in consensus on a guideline for the treatment of HKOA patients.

To facilitate the use of guidelines in daily practice it is important to apply an implementation strategy. Implementation studies with regard to other PT guidelines have shown...
that didactic education and passive dissemination strategies were ineffective. Multifaceted interventions, interactive education and clinical reminder systems have been shown to be more effective to implement PT guidelines. In a following study a more effective implementation strategy will be researched.

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CHAPTER 2

Development of a Physiotherapy Practice Guideline


